

DT07 Rec'd PCT/PTO 2 1 JUL 2004

FORM PTO-1390
(REV 10-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 USC § 371**

DATE: **JUL 21 2004**ATTORNEY DOCKET NUMBER
57787US007U.S. APPLICATION NO.
(if known, see 37 CFR § 1.5)**10/502210**INTERNATIONAL APPLICATION NO.
PCT/US03/11347INTERNATIONAL FILING DATE
April 10, 2003PRIORITY DATE CLAIMED
June 18, 2002

TITLE OF INVENTION

FOAM SECURITY SUBSTRATE

APPLICANT(S) FOR DO/EO/US

Christopher K. HAAS, Robert D. TAYLOR, William B. BLACK, James M. JONZA, Jay M. JENNEN, David L. VALL, Roger J. STUMO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC § 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC § 371.
3. ☐ This is an express request to begin national examination procedures (35 USC § 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 USC § 371(c)(2)).
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ An English language translation of the International Application as filed (35 USC § 371(c)(2)).
 - a. ☐ is attached hereto
 - b. ☐ has been previously submitted under 35 USC § 154(d)(4)
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 USC § 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 USC § 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 USC § 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC §§ 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR §§ 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR § 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR §§ 1.821 – 1.825.
18. ☐ A second copy of the published international application under 35 USC § 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 USC § 154(d)(4).
20. ☐ Other items or information:

Filing of Papers and Fees by Express Mailing

Pursuant to 37 CFR § 1.10, this application and the documents and fees listed on this transmittal letter are being deposited on the date indicated below with the United States Postal Service "Express Mail Post Office to Addressee" service addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date of Deposit

JUL 21 2004

Express Mail Label No.

EL982744926US

☒ Customer Number for
Correspondence Address:

32692

| | | | | | |
|--|--------------|--|---------------------------------------|--------------------------------------|-------------------|
| U.S. APPLN. NO. (if known, see 37 CFR § 1.5): <div style="font-size: 2em; font-weight: bold; text-align: center;">10/502210</div> | | INTERNATIONAL APPN. NO.: PCT/US03/11347 | | ATTORNEY'S DOCKET NO.: 57787US007 | |
| 21. <input checked="" type="checkbox"/> The following fees are submitted: : | | | | Calculations | PTO Use Only |
| Basic National Fee (37 CFR § 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR § 1.482) nor international search fee (37 CFR § 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1080.00 International preliminary examination fee (37 CFR § 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$920.00 International preliminary examination fee (37 CFR § 1.482) not paid to USPTO but international search fee (37 CFR § 1.445(a)(2)) paid to USPTO..... \$770.00 International preliminary examination fee (37 CFR § 1.482) paid to USPTO but all claims did not satisfied provisions of PCT Article 33(1) -(4) \$730.00 International preliminary examination fee (37 CFR § 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)) \$100.00 | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | \$920.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR § 1.492(e)). | | | | \$0.00 | |
| Claims | Number Filed | | Number Extra | Rate | |
| Total Claims | 33 | -20 = | 13 | X \$18.00 | \$234.00 |
| Independent Claims | 1 | -3 = | 0 | X \$86.00 | \$0.00 |
| MULTIPLE DEPENDENT CLAIMS(S) (IF APPLICABLE) | | | | X \$290.00 | \$0.00 |
| TOTAL OF ABOVE CALCULATIONS = | | | | | \$1,154.00 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR § 1.27. The fees indicated above are reduced by 1/2. | | | | \$0.00 | |
| SUBTOTAL = | | | | | \$1,154.00 |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest priority date (37 CFR § 1.492(f)). | | | | \$0.00 | |
| TOTAL NATIONAL FEE = | | | | | \$1,154.00 |
| Fee for recording the enclosed assignment (37 CFR § 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR §§ 3.28, 3.31). \$40.00 per property | | | | \$0.00 | |
| TOTAL FEES ENCLOSED = | | | | | \$1,154.00 |
| | | | | Amount to be | \$ |
| | | | | refunded: | |
| | | | | charged: | \$1,154.00 |
| a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>13-3723</u> in the amount of \$1,154.00 to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-3723</u> A duplicate copy of this sheet is enclosed | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR § 1.495 has not been met, a petition to revive (37 CFR § 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| Signature | | | | | |
| Name: KENT S. KOKKO | | | Registration Number: 33,931 | | |
| Address: Office of Intellectual Property Counsel 3M Innovative Properties Company P.O. Box 33427 St. Paul, Minnesota 55133-3427 | | | | | |